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# USPTO FACSIMILE TRANSMITTAL SHEET

Page 1 of 1

Docket No.: DMKISCH.002APC

CUSTOMER NO. 20995

Applicant

Alberts

**Knobbe Martens Olson & Bear LLP** 

Intellectual Property Law

App. No.

10/568,227

Filed

February 14, 2006

For

METHOD FOR THE PREPARATION OF

GROUP IB-IIIA-VIA QUATERNARY OR **SEMICONDUCTOR** ALLOY HIGHER

**FILMS** 

Examiner

Unknown

Group Art Unit

Unknown

CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence and all murked attachments are being transmitted via facsimile to the USPTO Central Fax No. (571) 273-8300 on the date shown below:

May 17, 2006

Adeel S. Akhtar, Reg. No. 41,394

Dear Sir:

At the time the above-identified application was filed, a Declaration was not submitted. As of this date, we have not received a Notice to File Missing Parts. However, in anticipation of receiving this communication, we are forwarding to you the following documents:

- Signed Declaration in 2 pages. (X)
- A Power of Attorney by Assignee with copy of Assignment (X)

The following fees are enclosed:

Please charge the total fees due in the amount of \$130 to Deposit Account No. 11-1410. (X)

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

Adeel S. Akhtar

Registration No. 41,394

Attorney of Record Customer No. 20,995

(415) 954-4114

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Orange County 949-760-0404

San Diego 619-235-8550 Los Angeles 310-551-3450

Riverside 951-781-9231

San Luis Obispo 805-547-5580

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Attorney's Docket No. DMKISCH.002APC

## **DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD FOR THE PREPARATION OF GROUP IB-IIIA-VIA QUATERNARY OR HIGHER ALLOY SEMICONDUCTOR FILMS; the specification of which was filed on February 14, 2006 as Application Serial No. 10/568,227.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)			Priority Claimed
No.: PCT/IB2004/051458 No.: 2003/6316 No.: 2004/2497	Country: PCT Country: South Africa Country: South Africa	Date Filed: August 13, 2004 Date Filed: August 14, 2003 Date Filed: March 30, 2004	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

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Page 2

Attorney's Docket No. DMKISCH.002APC

Full name of sole inventor: Vivian Alberts

Inventor's signature \_\_\_\_\_\_\_

Date 21 April 2006

Residence: 158A Market Street, Fairlands, Johannesburg, SOUTH AFRICA

Citizenship: South African

Mailing Address: same as above

Send Correspondence To:

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995

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## MAY 17 2006

### DMKISCH.002APC

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Alberts	)
App. No.	:	10/568,227	)
Filed	:	February 14, 2006	)
For	:	METHOD FOR THE PREPARATION OF GROUP IB-IIIA-VIA QUATERNARY OR HIGHER ALLOY SEMICONDUCTOR FILMS	))))
Examiner	:	Unknown	)

# ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION AND REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). A true copy of the original Assignment of the above-captioned application from the inventor(s) to the Assignee is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made berein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, Customer No. 20,995, as its attorneys with full power of substitution and revocation to prosecute

App. No.

10/568,227

Filed

February 14, 2006

this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use Customer No. 20,995 for all communications.

UNIVERSITY OF JOHANNESBURG

Dated: 21 April 2006

Name: Prof. Pieter van der Merwe

Title: Chief Director: Intellectual

Property

Address: Cnr. Kingsway and University Roads

Auckland Park 2006 Johannesburg SOUTH AFRICA

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Do Not Record

Application No.: 10/568,227 Filing Date: February 14, 2006 PATENT Client Code: DMKISCH-002APC Page 1

#### ASSIGNMENT

WHEREAS, I, Vivian Alberts, a South African citizen, residing at 158A Market Street, Fairlands, Iohannesburg, SOUTH AFRICA, have invented certain new and useful improvements in a METHOD FOR THE PREPARATION OF GROUP IB-IIIA-VIA QUATERNARY OR HIGHER ALLOY SEMICONDUCTOR PILMS for which I have filed an application for Letters Fatent in the United States, Application No. 10/568,227, Filing Date February 14, 2006;

AND WHEREAS, UNIVERSITY OF JOHANNESHUEG (hereinafter "ASSIGNEE"), a University organized under the laws of South Africa, with its principal place of business at Car. Kingsway and University Roads, Auckland Park, 2006 Johnmeshurg, SOUTH AFRICA, desires to acquire the entire right, tide, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in return for good and valuable consideration, the receipt of which is hereby acknowledged, I, the said invertor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all letters Patent of the United States which may be granted thereon and all retasues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filled for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its Successors, legal representatives and assigns, in assordance with the terms of this instrument.

AND I DO HERRBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have account prior to the date of assignment to ASSIGNEE, or may account hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of the said Letters Patent before or after increase.

AND I HEREBY coverant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful outs and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I harmunto set my hand and seal this 1st day of April 206.

Vivian Alberts

Witness Signature

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